

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:
Guido Guglielmi & Ivan Sepetka.

Serial No. 07/840,211
Filed: Feb. 24, 1992

For: Improvements in an Endovascular
Electrolytically Detachable Guidewire Tip for
the Formation of Thrombus in Arteries, Veins,
Aneurysms, Vascular Malformations and
Arteriovenous Fistulas

POWER OF ATTORNEY BY ASSIGNEE

AND EXCLUSION OF INVENTOR(S) UNDER RULE 32

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir,

The undersigned assignee of the entire interest of Ivan Sepetka in the above-identified subject application hereby appoints Daniel L. Dawes, of the firm of Beehler & Pavitt, as its attorneys to prosecute this application and to transact all business in the Patent Office connected therewith, said appointment to be in the exclusion of the inventors and their attorney(s) in accordance with provisions of Rule 32 of the Patent Office Rules of Practice.

Please direct all telephone calls to Daniel L. Dawes at (714) 644-7740 and all correspondence relative to said application to the following address:

Daniel L. Dawes
Beehler & Pavitt
P. O. Box 92400
Los Angeles, California 90009

Assignee: TARGET THERAPEUTICS

Signature: [Signature] Date 2/22/94
Typed Name: ERIE T. ENGELSON
Title: SR. VICE PRESIDENT
Address: 47201 Lakeview Blvd.
Edmond, California 94527-5100

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Sir:

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Daniel L. Dawes
Beehler & Pavitt
P. O. Box 92400
Los Angeles, California 90009

Assignee: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: [Signature] Date February 18, 1994

Typed Name: Linda S. Stevenson

Title: Prosecution Analyst

Address: Office of Technology Transfer
300 Lakeside Drive, 22nd Floor
Oakland, California 94612-3550

Guglielmi et al. (UC)
M203a-D
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: GUIDO
GUGLIELMI et al.

TITLE: IMPROVEMENTS IN AN
ENDOVASCULAR
ELECTROLYTICALLY
DETACHABLE WIRE AND TIP
FOR THE FORMATION OF
THROMBUS IN ARTERIES, VEINS,
ANEURYSMS, VASCULAR
MALFORMATIONS AND
ARTERIOVENOUS FISTULAS

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to: Com-
missioner of Patents and Trademarks, Washington,

D.C. 20231, on FEB. 20, 1992

(Date of Deposit)

Maureen Vieira

MAUREEN VIEIRA

Date of Signature: Feb. 20, 1992

STATEMENT OF CANDOR

Hon. Commissioner of Patents and Trademarks

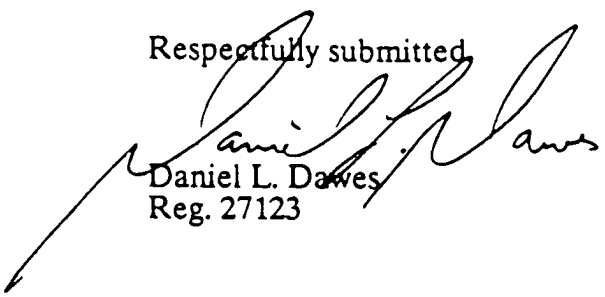
Washington, D.C. 20231

Dear Sir:

Pursuant to the rules of candor and ethics of the United States Patent Office, the undersigned states that the phrase "Vasooocclusion Coil with Attached Fibrous Elements, filed Oct. 2, 1991, serial number 07/771,013" at page 21, lines 9 and 10, and the word "may" at page 21, line 8, was added to the specification after the declaration was signed by the inventors.

A supplementary declaration will be filed at the appropriate time in the prosecution of the matter. The addition is not believed to be material to the disclosure of the specification or its patentability.

Respectfully submitted,


Daniel L. Dawes
Reg. 27123

100 Corporate Pointe, Ste 330
Culver City, California 90230
714 644 7740

FILE - 64-11111-11111

DECLARATION AND POWER OF ATTORNEY

CONTINUATION-IN-PART APPLICATION

We, GUIDO GUGLIELMI, a citizen of Italy, and IVAN SEPETKA, a citizen of United States of America, declare that we verily believe that we are the original and first inventors of the IMPROVEMENTS IN AN ENDOVASCULAR ELECTROLYTICALLY DETACHABLE GUIDEWIRE TIP FOR THE FORMATION OF THROMBUS IN ARTERIES, VEINS, ANEURYSMS, VASCULAR MALFORMATIONS AND ARTERIOVENOUS FISTULAS, described and claimed in the continuation-in-part application attached hereto;

that this application in part discloses and claims subject matter disclosed in our earlier filed pending application, Serial No. 07/492,717 filed March 13, 1990;

that we hereby state that we have reviewed and understand the contents of the attached specification including the claims as amended;

that we acknowledge our duty to disclose information of which we are aware which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a);

that we acknowledge our duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the filing date of the continuation-in-part application which discloses and claims subject matter in addition to that disclosed in the prior application;

that as to the subject matter of this application which is common to said earlier application, we do not know and do not believe that the same was ever known or used in the United States of America before our invention thereof or patented or described in any printed publication in any country before our invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States of America more than one year prior to said earlier application; that said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United

States of America on an application filed by us or our legal representatives or assigns more than twelve months prior to said earlier application; and that no application for patent or inventors' certificate on said invention has been filed by us or our representatives or assigns in any country foreign to the United States of America except as follows:

none

that, as to the subject matter of this application which is not common to said earlier application, we do not know and do not believe that the same was ever known or used in the United States of America before our invention thereof or patented or described in any printed publication in any country before our invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States of America more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an inventors' certificate issued in any country foreign to the United States of America on an application filed by us or our legal representatives or assigns more than twelve months prior to the date of this application; and that no application for patent or inventors' certificate on said invention has been filed by us or our representatives or assigns in any country foreign to the United States of America except as follows:

none

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

William H. Pavitt, Jr., Registration No. 16,290
Ralf H. Siegemund, Registration No. 22,077
Bruce A. Jagger, Registration No. 19,968
Mario A. Martella, Registration No. 19,619
Daniel L. Dawes, Registration No. 27,123
Natan Epstein, Registration No. 28,997
Robert Jacobs, Registration No. 33,403

Address telephone calls to: Daniel L. Dawes, Esq.
(310) 215-3183
(714) 644-7740

Address all correspondence to: Daniel L. Dawes, Esq.

BEEHLER & PAVITT
100 Corporate Pointe, Suite. 330
Culver City, California 90230

Mailing Address:
P. O. Box 92400
Los Angeles, California 90009

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first or sole inventor: ¹⁻⁰⁰ GUIDO GUGLIELMI

2-4-92

Date


Signature of Inventor

Residence: Santa Monica, California

Post Office Address: 513 Wilshire Boulevard, Apartment 218
Santa Monica, California 90401

Full name of second inventor: ⁸⁻⁰⁰ IVAN SEPETKA

2/10/92

Date


Signature of Inventor

Residence: Redwood City, California

Post Office Address: 1148 17th Street
Redwood City, California 94063

see paper dated 10-3-97



DATE: 04/15/92
TO:
BEEHLER & PAVITT
DANIEL L. DAWES
100 CORPORATE POINTE
SUITE 330
CULVER CITY, CA 90230

Express Mail 1266-1524-198US
EM60109489
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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BEEHLER, PAVITT

64477 U.S. PRO
08/944829
10/06/97

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION
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TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35,
WASHINGTON, D.C. 20231

IGNOR:
SEPETKA, IVAN

DOC DATE: 02/06/92

RECORDATION DATE: 02/24/92 NUMBER OF PAGES 004 REEL/FRAME 6030/0827
SUBJECT: ASSIGNMENT OF ASSIGNORS INTEREST

APPLICANT:
TARGET THERAPEUTICS
130 RIO ROBLES
SAN JOSE, CA 95134-1806

AL NUMBER 7-840211 FILING DATE 02/24/92
SERIAL NUMBER ISSUE DATE 00/00/00

ASSIGNMENT

THIS ASSIGNMENT, by Ivan Sepetka, residing at 1148 17th Street, Redwood City, California 94063 (hereinafter referred to as assignor), witnesseth:

WHEREAS, the said assignor has invented new and useful IMPROVEMENTS IN AN ENDOVASCULAR ELECTROLYTICALLY DETACHABLE GUIDEWIRE TIP FOR THE FORMATION OF THROMBUS IN ARTERIES, VEINS, ANEURYSMS, VASCULAR MALFORMATIONS AND ARTERIOVENOUS FISTULAS, set forth in an application for Letters Patent of the United States having an oath or declaration executed on even date herewith; and

WHEREAS, TARGET THERAPEUTICS duly organized under and pursuant to the laws of the State of Delaware, and having its principal place of business at 130 Rio Robles, San Jose, California 95134-1806 (hereinafter referred to as assignee) are desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the said assignee, its successors, legal representative and assigns, the entire right, title and interest in and to the above mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to all divisions, continuations and continuations-in-part of said applications, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the

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term or terms for which Letters Patents or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenant and agree to and with the said assignee, its successor, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above mentioned, and that the same are unencumbered and that the said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

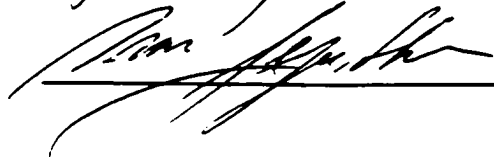
AND for the same consideration, the said assignor hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignor will, whenever counsel of the said assignee, or the counsel of its successors, legal representative and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any resissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patents for said inventions, without charge to said assignee, its successors, legal representative and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and

REC-6030 FRANK 29

behoof of the said assignee, its successors, legal representatives and assigns.

Executed this 6 day of February, 1992



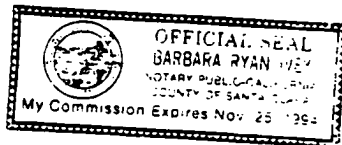
STATE OF CALIFORNIA;

COUNTY OF Santa Clara

ss

On this 6 day of February, 1992,
before me personally appeared Ivan Segetka,
to me known to be the person whose name is subscribed to the
foregoing instrument, and who acknowledged that he executed
said instrument as his free and voluntary act and for the
uses and purposes therein expressed.

SEAL




Notary Public

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DATE: 04/01/92
TO:
BEEHLER & PAVITT
DANIEL L. DAWES
100 CORPORATE POINTE
SUITE 330
CULVER CITY, CA 90230

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APR 20 1992

BEEHLER, PAVITT



64477 U.S. PTO
08/944829

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ASSIGNOR:
GUGLIELMI, GUIDO

DOC DATE: 01/14/92

RECORDATION DATE: 02/24/92 NUMBER OF PAGES 004 REEL/FRAME 6030/0823

DIGEST :ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:
REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE
300 LAKESIDE DRIVE, 22ND FLOOR
OAKLAND, CA 94612-3550

SERIAL NUMBER	7-840211	FILING DATE	02/24/92
PATENT NUMBER		ISSUE DATE	00/00/00

07840211

Guglielmi et al. (UC)
M203a-D
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: GUIDO GUGLIELMI et al.

TITLE : IMPROVEMENTS IN AN ENDOVASCULAR ELECTROLYTICALLY DETACHABLE WIRE AND TIP FOR THE FORMATION OF THROMBUS IN ARTERIES, VEINS, ANEURYSMS, VASCULAR MALFORMATIONS AND ARTERIOVENOUS FISTULAS

DOCKET NO.: M203a-D

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Enclosed please find:

- ☒ The above captioned continuation-in-part patent application of S.N. 07/492,717, filed March 13, 1990
- ☒ Sheets Formal Drawings
- ☒ Sheets Informal Drawings (6 sheets)
- ☒ Combined Declaration and Power of Attorney
- ☒ Small entity forms (2)
- ☒ Assignments (2)
- ☒ Assignment Recording fee in the amount of..... \$ 40.00
- ☒ Basic Fee.Small Entity..... \$ 345.00
- Total Claims = 24 -20 = 4 X \$10 = 40.00
- Independent Claims = 8 -3 = 5 X \$36 = 180.00
- ☒ Filing Fees (total) in the amount of:\$ 605.00
- ☒ Prior Art Statement
- ☒ Return Postcard
- ☒ Express Mail Certificate Mailing Label No.
- ☒ STATEMENT OF CANDOR.

The Commissioner of Patents is hereby authorized to charge the filing fee of \$605.00 to deposit account number 02-1731 and any fees which may be required under 37 CFR 1.16 and 1.17 or any additional fees which may be required by this paper, or to credit any overpayment to account number 02-1731.

Sincerely,

Daniel L. Dawes
Daniel L. Dawes
Registration No. 27,123
BEEHLER & PAVITT
100 Corporate Pointe, Ste. 330
Culver City, California 90230
(310) 215-3183 / (714) 644-7740

Mailing Address: 07840211
P. O. Box 92400
Los Angeles, California 90009

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
12	1731
FEE CODE	VALUE PURCHASED
581	40.00

*express
charge sent
to finance
Dan*

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington,

D.C. 20231, on FEB. 20, 1992
(Date of Deposit)

Maureen Vieira
MAUREEN VIEIRA

02-1731 030 581

Date of Signature: Feb. 20, 1992

ASSIGNMENT

THIS ASSIGNMENT, by Guido Guglielmi, residing at 513 Wilshire Boulevard, Apartment 218, Santa Monica, California 90401 (hereinafter referred to as assignor), witnesseth:

WHEREAS, the said assignor has invented new and useful IMPROVEMENTS IN AN ENDOVASCULAR ELECTROLYTICALLY DETACHABLE GUIDEWIRE TIP FOR THE FORMATION OF THROMBUS IN ARTERIES, VEINS, ANEURYSMS, VASCULAR MALFORMATIONS AND ARTERIOVENOUS FISTULAS, set forth in an application for Letters Patent of the United States having an oath or declaration executed on even date herewith; and

WHEREAS, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA duly organized under and pursuant to the laws of the State of California, and having its principal place of business at 300 Lakeside Drive, 22nd Floor, Oakland, California 94612-3550 (hereinafter referred to as assignee) are desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and in consideration of the benefits stipulated in the "University of California Policy Regarding Patents," as revised and effective as of April 16, 1990, which document is made by reference part hereof, and in fulfillment of my Patent Agreement with the University of California, and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the said assignee, its successors, legal representative and assigns, the entire right, title and interest in and to the above mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to all divisions, continuations and continuations-in-part of said applications, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the

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term or terms for which Letters Patents or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenant and agree to and with the said assignee, its successor, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above mentioned, and that the same are unencumbered and that the said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignor will, whenever counsel of the said assignee, or the counsel of its successors, legal representative and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any resissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patents for said inventions, without charge to said assignee, its successors, legal representative and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and

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behoof of the said assignee, its successors, legal representatives and assigns.

Executed this 14th day of January, 1992.

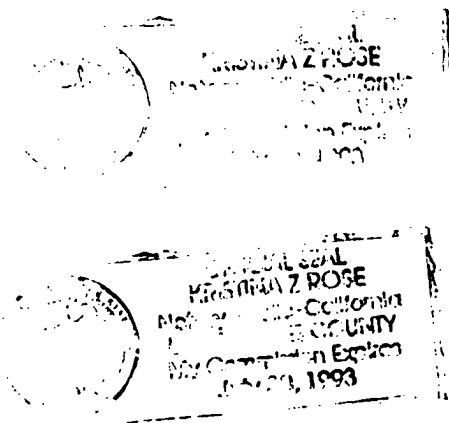
Fido Guglielmi

STATE OF CALIFORNIA;)
) ss
COUNTY OF LOS ANGELES)

On this 14th day of January, 1992,
before me personally appeared Fido Guglielmi, M.D.,
to me known to be the person whose name is subscribed to the
foregoing instrument, and who acknowledged that he executed
said instrument as his free and voluntary act and for the
uses and purposes therein expressed.

Kristin Z. Rose
Notary Public

SEAL



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